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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/770,916

01/26/2001

Dara W. Frank

650053.91487

CONFIRMATION NO. 6613

FORMALITIES LETTER

OC000000006368575

Jean C. Baker Quarles and Brady LLP 411 East Wisconsin Avenue Milwaukee, WI 53202-4497

Date Mailed: 08/01/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

99/E001 CHTHASS1 00000086 170055

09770916

FILED UNDER 37 CFR 1.53(b)

75:203 65.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable



form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



I hereby certify that this correspondence is being deposited with the United States Postal Services on the date set forth below as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Date of Signature and Deposit:

01

Attorney of Record

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Dara W. Frank, et al.

Serial No.:

09/770,916

Filed:

January 26, 2001

For:

METHOD OF AND COMPOSITIONS FOR

IMMUNIZATION WITH THE PSEUDOMONAS V

ANTIGEN

Group Art Unit:

1645

Examiner:

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Commissioner For Patents Washington, D.C. 20231

TRANSMITTAL LETTER

Dear Sir:

In the matter of the above-identified case and in response to the August 1, 2001 Notice to File Missing Parts, Applicants enclose an executed declaration and a copy of the Notice.

Applicants have enclosed a Fee Transmittal sheet indicating that the small entity fee of \$65.00 should be paid from Deposit Account 17-0055. No other fees are believed necessary to enter this Declaration. However,

if other fees are necessary, please charge Deposit Account 17-0055.

Respectfully submitted,

Dara W. Frank, et al.

October 1, 2001

Ву:

Jean C. Baker

R¢g.\ No. 35,433

Quarles & Brady LLP

411 East Wisconsin Avenue Milwaukee, WI 53202-4497

(414) 277-5709



PTO/SB/17 (10-01)

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Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays e valid OMB control number.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 65.00

Complete if Known				
Application Number	09/770,916			
Filing Date	January 26, 2001			
First Named Inventor	Dara W. Frank			
Examiner Name				
Group Art Unit	1645			
Attorney Docket No.	650053.91487			

METHOD OF PAYMENT	FEE CALCULATION (continued)					
The Commissioner is hereby authorized to charge	3. ADDITIONAL FEES					
indicated fees and credit any overpayments to: Deposit	Large Small					
Account 17-0055	Entity Entity Fee Fee Fee Fee Pescription Fee Paid					
Number	Code (\$) Code (\$)					
Deposit Account Quarles & Brady LLP	105 130 205 65 Surcharge - late filing fee or oath					
Name	127 50 227 25 Surcharge - late provisional filing fee or cover sheet					
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17						
Applicant claims small entity status.	139 130 139 130 Non-English specification					
See 37 CFR 1.27	147 2,520 147 2,520 For filing a request for ex parte reexaminetion					
Charles Cradit card Money Other	112 920* 112 920* Requesting publication of SIR prior to Examiner action					
	113 1,840* 113 1,840* Requesting publication of SIR after					
FEE CALCULATION	Examiner action 115 110 215 55 Extension for reply within first month					
1. BASIC FILING FEE	116 400 216 200 Extension for reply within second month					
Large Entity Small Entity Fee Fee Fee Fee Description	117 920 217 460 Extension for reply within third month					
Code (\$) Code (\$) Fee Paid	118 1,440 218 720 Extension for reply within fourth month					
101 740 201 370 Utility filing fee	128 1,960 228 980 Extension for reply within fifth month					
106 330 206 165 Design filing fee	119 320 219 160 Notice of Appeal					
107 510 207 255 Plant filing fee	120 320 220 160 Filing a brief in support of an appeal					
108 740 208 370 Reissue filing fee	121 280 221 140 Request for oral hearing					
114 160 214 80 Provisional filing fee	138 1,510 138 1,510 Petition to institute a public use proceeding					
SUBTOTAL (1) (\$)	140 110 240 55 Petition to revive - unavoidable					
2. EXTRA CLAIM FEES	141 1,280 241 640 Petition to revive - unintentional					
Extra Claims Fee from below Fee Pa	142 1,280 242 640 Utility issue fee (or reissue)					
	143 460 243 230 Design issue fee					
Independent 3** = X =	144 620 244 310 Plant issue fee					
Multiple Dependent =	122 130 122 130 Petitions to the Commissioner					
	123 50 123 50 Processing fee under 37 CFR 1.17(q)					
Large Entity Small Entity Fee Fee Fee Fee Fee Description	126 180 126 180 Submission of Information Disclosure Stmt					
Code (\$) Code (\$)	581 40 581 40 Recording each patent assignment per property (times number of properties)					
103 18 203 9 Claims in excess of 20						
102 84 202 42 Independent claims in excess of 3	146 740 246 370 Filing a submission after final rejection (37 CFR § 1.129(a))					
104 280 204 140 Multiple dependent claim, if not paid	149 740 249 370 For each additional invention to be					
109 84 209 42 ** Reissue independent claims over original patent	examined (37 CFR § 1.129(b))					
110 18 210 9 ** Reissue claims in excess of 20	179 740 279 370 Request for Continued Examination (RCE)					
and over original patent	169 900 169 900 Request for expedited examination of a design application					
SUBTOTAL (2) (\$)	Other fee (specify)					
005101X2 (2)	(m) 65 00					
**or number previously paid, if greater; For Reissues, see ebove *Reduced by Basic Filing Fee Paid *SUBTOTAL (3) ((\$) 65.00						
Complete (foodballs)						

SUBMITTED BY				Complete (if applicable)		
Name (Print/Type)	Jean C. Baker			Registration No. (Attorney/Agent) 35,433	Telephone	414-277-5709
Signature	Van C.	1	Hu	1	Date	October 1, 2001

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